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DATE MAILED: 02/23/2004

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|----------------------------|-----------------------|-----------------------|---------------------|------------------|
| 09/664,827 | 09/19/2000 | Glen H. Erikson | E1047/20044 | 4947 |
| 7. | 590 02/23/2004 | EXAMINER | | |
| David M Tene | er Esq | CHUNDURU, SURYAPRABHA | | |
| Caesar Rivise I | Bernstein Cohen & Pok | otilow LTD | | |
| 12th Floor - 7 Penn Center | | | ART UNIT | PAPER NUMBER |
| 1635 Market Street | | | 1637 | |
| Philadelphia, F | PA 19103-2212 | | | _ |

Please find below and/or attached an Office communication concerning this application or proceeding.

| | Application No. | Analicantic | | | | |
|--|---|--|--|--|--|--|
| • | Application No. | Applicant(s) | | | | |
| Office Action Summers | 09/664,827 | ERIKSON ET AL. | | | | |
| Office Action Summary | Examiner | Art Unit | | | | |
| The MAN INC DATE of this communication | Suryaprabha Chunduru | 1637 | | | | |
| The MAILING DATE of this communication Period for Reply | appears on the cover sheet with the | ne correspondence address | | | | |
| A SHORTENED STATUTORY PERIOD FOR RE THE MAILING DATE OF THIS COMMUNICATIO - Extensions of time may be available under the provisions of 37 CFF after SIX (6) MONTHS from the mailing date of this communication. - If the period for reply specified above is less than thirty (30) days, a - If NO period for reply is specified above, the maximum statutory per - Failure to reply within the set or extended period for reply will, by state Any reply received by the Office later than three months after the meanned patent term adjustment. See 37 CFR 1.704(b). | N. R 1.136(a). In no event, however, may a reply to reply within the statutory minimum of thirty (30) riod will apply and will expire SIX (6) MONTHS atute, cause the application to become ABAND | be timely filed) days will be considered timely. from the mailing date of this communication. ONED (35 U.S.C. § 133). | | | | |
| Status | | | | | | |
| 1) Responsive to communication(s) filed on $\underline{2}$ | 9 January 2004. | | | | | |
| 2a)⊠ This action is FINAL . 2b)☐ T | ☐ This action is FINAL . 2b)☐ This action is non-final. | | | | | |
| 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is | | | | | | |
| closed in accordance with the practice unde | er <i>Ex par</i> te <i>Quayle</i> , 1935 C.D. 11 | , 453 O.G. 213. | | | | |
| Disposition of Claims | | | | | | |
| 4) Claim(s) 1-52 is/are pending in the applicat | ion. | | | | | |
| 4a) Of the above claim(s) <u>26-49 and 52</u> is/are withdrawn from consideration. | | | | | | |
| 5) Claim(s) is/are allowed. | | | | | | |
| 6)⊠ Claim(s) <u>1-25, 50-51</u> is/are rejected. | | | | | | |
| 7) Claim(s) is/are objected to. | | | | | | |
| · · · · · · · · · · · · · · · · · · · | Claim(s) are subject to restriction and/or election requirement. | | | | | |
| Application Papers | | | | | | |
| 9) The specification is objected to by the Exam | niner. | | | | | |
| 10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner. | | | | | | |
| Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). | | | | | | |
| Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). | | | | | | |
| 11)☐ The oath or declaration is objected to by the | Examiner. Note the attached Of | fice Action or form PTO-152. | | | | |
| Priority under 35 U.S.C. § 119 | | | | | | |
| 12) Acknowledgment is made of a claim for fore a) All b) Some * c) None of: 1. Certified copies of the priority documents. 2. Certified copies of the priority documents. 3. Copies of the certified copies of the papplication from the International Bur * See the attached detailed Office action for a | ents have been received. ents have been received in Application of the properties of the proper | cation No eived in this National Stage | | | | |
| Attachment(s) 1) Notice of References Cited (PTO-892) | 4) 🔲 Interview Summ | nary (PTO-413) | | | | |
| 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Ma | ail Date | | | | |
| 3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/Paper No(s)/Mail Date | /08) 5) ☐ Notice of Inform 6) ☐ Other: | nal Patent Application (PTO-152) | | | | |

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DETAILED ACTION

- 1. Applicants' response to the office action and amendment filed on January 29, 2004 has been entered.
- 2. Claims 1-25, 50-51 are considered for examination. Non-elected claims 26-49, and 52 are withdrawn from consideration.
- 3. This application is filed on September 19, 2000.

Response to Arguments

- 4. Applicant's response to the office action (Paper No.8) is fully considered and is found persuasive in part.
- 5. The following is the rejection made in the previous office action under 35 USC 102(b): Claims 1-4, 7-9, 13-14, and 19 are rejected under 35 U.S.C. 102(b) as being anticipated by McGavin et al. (J. Mol. Graphics, Vol. 7, pages 218-232, 1989).

McGavin et al. teach a multiplex structure of claim 1, using computer graphics wherein McGavin et al. disclose a multiplex structure comprising a first, a second, a third and a fourth sequence of nucleobases wherein four strands interact specifically with each other forming a multiplex structure solely through Watson-Crick pairing (see page 226, column 1, paragraphs 2-4) in which Watson-Crick duplexes are paired specifically about a dyad axis coincident with a common long molecular axis and with major grooves in continuous and specific contact (see page 230, column 1, paragraphs 1-3, page 225, column 1, paragraph 2, column 2, paragraph 3).

With regard to claim 2, McGavin et al. teach that the multiplex structure comprises an artificial or synthetic quadruplex (see page 228, column 1, paragraphs 2-4);

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With regard to claims 3-4, McGavin et al. teach that the multiplex structure comprises a nucleic acid (DNA and RNA) (see page 225, column 2, paragraph 3);

With regard to claims 7, 9, McGavin et al. teach the multiplex structure any one strand alternates between two strands in anti-parallel orientation (see page 220, color plate 3a and 3b,page 228, column 2, paragraphs 3-8);

With regard to claim 8, McGavin et al. teach Watson-Crick duplexes are paired specifically about a dyad axis coincident with a common long molecular axis and with major grooves in continuous and specific contact indicating major groove of first-second strand duplex is placed in the major groove of third-fourth strand duplex (see page 230, column 1, paragraphs 1-3, page 225, column 1, paragraph 2, column 2, paragraph 3);

With regard to claim 13-14, McGavin et al. teach that the multiplex structure is substantially free of Hoogsteen bonding and free of G-G quartets (see page 226, column 1, paragraph 2, column 2, paragraph 2);

With regard to claim 19, McGavin et al. teach that the multiplex structure is free of a solid support (see page 226, column 2, paragraphs 3-5).

Thus the disclosure of McGavin et al. meets the limitations in the instant claims.

Response to arguments:

With regard to the above rejection, Applicants' arguments have been fully considered and found not persuasive. Applicants argue that the prior art McGavin discloses a theoretical model for quadruplex nucleic acid sequences based on the Watson-Crick tetrads and does not teach or suggest the structures with real nucleic acids and thus McGavin is a non-enabling art. These arguments are fully considered and found not persuasive because in previous office action

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Applicants submitted the same reference to show that the Watson-Crick base pairing is enabled by the McGavin reference. Contradictory to this, presently, Applicants argue that the prior art is non-enabling prior art. Examiner notes that one of ordinary skill in the art would relay on the McGavin reference for the structure as claimed in the instant invention because Applicants did not show any crystallographic data to show how the instantly claimed structure is formed with Watson-Crick base pairing involving more that two strands. Applicants' reference to a case law is fully considered however it is noted in MPEP 2121.04 "Pictures and drawings may be sufficiently enabling to put the public in the possession of the article pictured. Therefore, such an enabling picture may be used to reject claims to the article. However, the picture must show all the claimed structural features and how they are put together. Jockmus v. Leviton, 28 F.2d 812 (2d Cir. 1928). See also MPEP § 2125 for a discussion of drawings as prior art. Thus the computer graphic structure disclosed by McGavin is considered as enabling art. And hence the rejection is maintained herein.

6. With respect to the rejection made in the previous office action under 35 U.S.C. 103(a) over McGavin in view of Erikson et al., applicants arguments have been considered and the rejection is withdrawn in view of arguments.

Conclusion

Claims 5, 10-12, 15-18, 20-25 and 50-51 are free of art.

THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO

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ni/Control Number: 03/00+,02

MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Suryaprabha Chunduru whose telephone number is 571-272-0783. The examiner can normally be reached on 8.30A.M. - 4.30P.M, Mon - Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Gary Benzion reached on 571-272-0782. The fax phone numbers for the organization where this application or proceeding is assigned are 703872-9306 for regular communications and - for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-308-0196.

Suryaprabha Chunduru February 16, 2004

JEFFREY FREDMAN
PRIMARY EXAMINER